

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Jeong-Min Lee

Appln. No. : 10/592,028

Filed : September 7, 2006

For : STRUCTURE OF CAP HAVING  
STORAGE SPACE

Docket No.: L69.12-0006

Group Art Unit: 3753

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Electronically filed August 28, 2007

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. X 1. Within three month of the filing date of a  
national application other that a Continued  
Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international  
application;
3. Before the mailing date of a first Office Action on the merits; or
4. Before the mailing of a first Office Action after the filing of a Request for  
Continue Examination (RCE).
2.    after the time period specified in paragraph 1 above, but before the mailing date of a final  
action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.  
Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:
- (check either A or B below)
- A.    a statement as specified in 37 C.F.R. § 1.97(e).

B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

A. a statement as specified in 37 C.F.R. § 1.97(e);

B. the petition fee set forth in 37 C.F.R. § 1.17(p).

### **STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

**OR**

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

X No fee is required.

\_\_\_ Attached is a check in the amount of \$\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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<b>FORM PTO-1449</b>	Atty. Docket No.: <b>169.12-0006</b>	Appl. No.: <b>10/592,028</b>
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	<b>Jeong-Min Lee</b>	
	Filing Date	Group Art:
	<b>September 7, 2006</b>	<b>3753</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AL	2003-0096162	12/2003	Korea			X(Abstract)
	AM	2004-0014638	2/2004	Korea			X(Abstract)
	AN	2003-0096163	12/2003	Korea			X(Abstract)

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	
EXAMINER:		DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.